The FRBR and FRAD Conceptual Models

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Overview

- FRBR
  - user tasks
  - the model itself
- FRAD
  - user tasks
  - the model itself
- Relevance to RDA
- FRBR Review Group

FRBR

- Functional Requirements for Bibliographic Records

- IFLA Cataloguing Section study group 1992-1997

- Published 1998

FRBR Methodology

- An entity-relationship (E-R) model

- Define <u>entities</u>

- List their <u>attributes</u>

- Identify the <u>relationships</u> between the entities

- Map to user tasks

Users in FRBR

- End-users of information retrieval systems

- Information workers assisting users

- Information workers maintaining databases

FRBR User Tasks

- <u>Find</u> entities that correspond to the search criteria

- <u>Identify</u> an entity as being the one sought

- <u>Select</u> an appropriate entity

- <u>Obtain</u> access to the entity described

Groups of Entities in FRBR

- Group 1: Products of intellectual or artistic endeavor

- Group 2: Responsible for group 1 entities

- Group 3: Subjects of works

Group 1 Entities

- Focus of bibliographic records

- 2 abstract entities reflect content
  - work
  - expression

- 2 concrete entities reflect form/carrier
  - manifestation
  - item

Definition: Item

- A single <u>exemplar</u> of a manifestation

- Concrete, usually physical, thing held in library collections

- A "copy" of a publication, may be made up of multiple parts (volumes in a set)

Definition: Manifestation

- The physical <u>embodiment</u> of an expression of a work

- The set of all items bearing the same characteristics, both physical form and content

- Either a published edition, or a single unpublished item

Definition: Expression

- The intellectual or artistic <u>realization</u> of a work

- The specific form a work takes when realized

- Specific sequence of words in a textual work, of notes in a musical work, etc.

Definition: Work

- A distinct intellectual or artistic <u>creation</u>

- Abstraction of the commonality of content of its various expressions

- Does not correspond to any single physical thing

What is a "book"?

I have lost my *book*.

We should order that *book*.

I'd like to read that *book* in English.

That movie is based on my favourite *book*.

- Item
- Manifestation

- Expression

- Work

Group 1 Entities

WORK

realized through

EXPRESSION

embodied in

MANIFESTATION

exemplified by

ITEM

Attributes: Work

- Title

- Form

- Date

- etc.

- *L'avare*

- Play

- 1668

Attributes: Expression

- Title

- Form

- Date

- Language

- etc.

- *The miser*

- Text

- 1987

- English

Attributes: Manifestation

- Title
- Responsibility

- Place of publication
- Publisher

- Date
- Type of material
- Extent
- Dimensions
- Identifier

- *The miser : a comedy*
- a new translation by Albert Bermel
- New York, NY
- Applause Theatre Book Publishers
- 1987
- Printed book
- 126 p.
- 19 cm
- ISBN 0936839759

Attributes: Item

- Identifier

- Provenance

- Annotations / Inscriptions

- etc.

- PQ1825 E5 1987b

- Purchase

- None

Group 2 Entities

Definitions:

- **Person** (an individual)

- **Corporate Body** (an organization or a group of individuals and/or organizations acting as a unit)

Attributes: Person

- Name

- Dates (birth, death, activity)

- Title (Duke, Sir, Prof., Dr.)

- Other designation (Saint, Jr., the Brave)

Attributes: Corporate Body

- Name

- Number (of a meeting, conference, etc.)

- Place (located in, held in)

- Dates (when held, when active)

- Other designation (of legal status, qualifiers)

Group 2 Entities

PERSON

CORPORATE BODY

responsible for

group 1 entities:
work
expression
manifestation
item

Group 2 Entities

Those responsible for the:

- intellectual or artistic <u>content</u> of a work or expression

- physical <u>production</u> or distribution of a manifestation

- <u>ownership</u> of an item

Group 2 Primary Relationships

Levels of responsibility:

- Item <is owned by>

- Manifestation <is produced by>

- Expression <is realized by>

- Work <is created by>

Example:

- McGill

- Applause Theatre Book Publishers

- Albert Bermel

- Molière

Group 3 Entities

Serve as subjects of works:

- Concept

- Object

- Event

- Place

Definition: Concept

- Abstract notion or idea

- Broad or narrow

- Such as: theories, processes, techniques, practices, etc.

Definition: Object

- A material thing

- Animate or inanimate
- Human creations or naturally occurring
- Such as: buildings, ships, plants, etc.

Definition: Event

- An action or occurrence

- Only events that are subjects are considered
- Such as: historical events, periods of time, etc.

Definition: Place

- A location

- Historical or contemporary
- Geographical features
- On Earth or not
- Such as: cities, rivers, mountain ranges, planets, etc.

Attributes: Group 3

- Not detailed in FRBR

- Only one attribute: "term for"

- Referred to FRSAR: *Functional Requirements of Subject Authority Records*

Group 3 Entities

CONCEPT
OBJECT
EVENT
PLACE
+ group 1 and 2 entities

subject relationships to

group 1 entity:
work

Relationships in the Catalogue

- Provide structure

- Collocation

    - Enables the user tasks <u>Find</u> and <u>Identify</u>

- Allow navigation

Bibliographic Relationships between Group 1 Entities

- Types of relationships characterized by:
    - entities involved
    - Referential or Autonomous
    - Dependent part or Independent part

Work or Expression Level

- Work-to-Work

- Expression-to-Expression (between expressions of <u>different</u> works)

- Expression-to-Work (expression to a <u>different</u> work)

Work or Expression Level

- Successor
- Supplement
- Complement
- Summarization
- Adaptation
- Transformation
- Imitation

Whole/Part: Work or Expression

- Dependent part

  - Chapter, section, part, etc.

  - Volume/issue of serial

  - Part of multipart work

  - Illustration for a text

  - Sound track for a film

- Independent part

  - Monograph in series

  - Journal article

  - Part of multipart work

Expression-to-Expression
of <u>same</u> Work

- Abridgement

- Revision

- Translation

- Arrangement (music)

Manifestation or Item Level

- Manifestation-to-Manifestation (of <u>same</u> expression)

- Manifestation-to-Item (of a <u>different</u> manifestation of the <u>same</u> expression)

- Item-to-Item

Manifestation-to-Manifestation

- Reproduction

  - reproduction
  - microreproduction
  - reprint
  - facsimile
  - mirror site

- Alternate

  - alternate format
  - simultaneous edition

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Whole/Part: Manifestation

- Volume of multivolume manifestation
- Soundtrack for a film

- parts of a kit
- part may be physically separate or not

Item-to-Item

- Reconfiguration

  - bound with
  - split into
  - extracted from

- Reproduction

  - reproduction
  - microreproduction
  - facsimile

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Whole/Part: Item

- Physical component of copy
  - integral, such as: a page
  - discrete, such as: a volume
- Binding of a book

FRANAR, FRAR or FRAD?

- FRANAR: Functional Requirements and Numbering of Authority Records

- An IFLA Working Group

- Created by Division of Bibliographic Control

- Chairs:

    - Françoise Bourdon (1999-2001)

    - Glenn Patton (2002-)

FRANAR Terms of Reference

- Defining functional requirements of authority records

- Studying the feasibility of an international authority data number

- Liaising with other interested groups

Functional Requirements for Authority Data (FRAD)

- A report fulfilling FRANAR's first task

- Method is entity-relationship modeling

- Conceptual model of the kind of authority data required to support authority control

- To facilitate international sharing of authority data

CAUTION!

The following is based on the
April 2007 <u>DRAFT</u>
issued for world-wide review.

Modifications, improvements
<u>will certainly</u> be made prior to
final publication.

Scope of FRAD

- Data needed in authority control

- All types of headings at a high level

- Details only for name, name-title, or uniform title headings

- Includes entities involved in creating headings

Not in Scope

- Further breakdown of attributes of Group 3 entities

- Relationships among Group 3 entities (concept, object, event, place)

- In other words, subject authorities

- Referred to FRSAR WG started in 2005

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Not in Scope

- Relationships between Group 2 and Group 1 entities (relator terms)

- Series treatment data

- Authority record management data

Users in FRAD

- Information workers who create, maintain and use authority files directly

- End-users who interact with authority data

  - maybe directly
  - but usually indirectly through controlled access points in bibliographic records

FRAD User Tasks

- <u>Find</u> entities corresponding to stated criteria, *or explore the universe of bibliographic entities*

- <u>Identify</u> an entity as being the one sought, *or to validate the form of name to be used as a controlled access point*

- <u>Contextualize</u>

- <u>Justify</u>

FRAD User Task: Contextualize

- Place a person, corporate body, work, etc. in context

- clarify the relationship between two or more persons, corporate bodies, works, etc.

- clarify the relationship between a person, corporate body, etc., and a name by which that person, corporate body, etc., is known

FRAD User Task: Justify

- Document the authority data creator's reason for choosing the name or form of name on which a controlled access point is based

Entities in FRAD

- Bibliographic entities (defined in FRBR):
  - person, family, corporate body
  - work, expression, manifestation, item
  - concept, object, event, place
- These entities are the focus of authority records
- "Authority" entities refer to them

Definition: Person

- An individual or a *persona* established or adopted by an individual or a group *(FRAD)*

- A bibliographic person, may not be a real individual

- Consider joint pseudonyms, multiple pseudonyms used by one real person

Definition: Family

- Two or more persons related by birth, marriage, adoption, or similar legal status, or who otherwise present themselves as a family

- From ISAAR(CPF)

- Would belong to FRBR Group 2 (and next edition of FRBR will include it)

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Definition: Corporate Body

- An organization or group of individuals and/or organizations acting as a unit

- As long as the group is named

- Includes meetings, congresses

- Includes governments

Group 2 Entities

PERSON
FAMILY
CORPORATE BODY

responsible for

group 1 entities:
work
expression
manifestation
item

FRAD Entities

- Name

- Identifier

- Controlled access point

- These are the heart of the authority data

Definition: Name

- A character or group of words and/or characters by which an entity is known

- The basic name or term itself

- As found in the "real" world

Definition: Identifier

- A number, code, word, phrase, logo, device, etc. that is uniquely associated with an entity, and serves to differentiate that entity from other entities within the domain in which the identifier is assigned

- Not only bibliographic identifiers

Definition: Controlled Access Point

- A name, term, code, etc. under which a bibliographic or authority record or reference will be found

- Includes established or authorized headings

- and variant headings or references

Basic FRAD Model

BIBLIOGRAPHIC ENTITIES

known by

NAMES and / or IDENTIFIERS

basis for

CONTROLLED ACCESS POINTS

Definition: Rules

- A set of instructions relating to the formulation and/or recording of controlled access points

Definition: Agency

- An organization responsible for creating or modifying a controlled access point

- and for the application and interpretation of the rules it uses

Authority Relationships

- Between different Persons, Families, Corporate bodies, Works

- Between Names and the entities they name

- Between different Controlled Access Points for the same entity

Impact of FRBR

- « to produce *a framework* that would provide a clear, precisely stated, and *commonly shared understanding* of what it is that the bibliographic record aims to provide information about »

- common framework and terminology facilitates ongoing research

- renewed interest for bibliographic research

Impact of FRBR
on Cataloguing Standards

- On ISBDs : mandatory data elements

- IME ICC and *Statement of International Cataloguing Principles*

- On cataloguing codes: AACR2 *=>* RDA

- RDA = Resource Description and Access

RDA and FRBR/FRAD

- FRBR and FRAD concepts are integrated into the basic structure and philosophy of RDA

- RDA's organization:
  - Recording Attributes (of the 11 bibliographic entities)
  - Recording Relationships (by groups of entities)

- FRBR and FRAD User tasks

- Separation between a Name and a Controlled Access Point

FRBR Review Group

- 2003-

- Review and maintain the FRBR model

- Develop and make available guidelines and interpretative documents

- Promote the model and maintain links with relevant groups

Current Projects

- Amendment to the expression entity

- Working Group on Aggregates

- Namespace declaration for FRBR entities

FRSAR

- FRSAR: Functional Requirements for Subject Authority Records

  - 2005-

  - Chair: Marcia Lei Zeng (Kent State University, Ohio)

- FRBR + FRAD + FRSAR = complete model of the bibliographic universe

FRBR/CRM Working Group

- 2003-

- Co-chairs of the joint working group:

  - Martin Doerr for the ICOM CIDOC CRM SIG

  - Patrick LeBoeuf for the IFLA FRBR RG

- CRM = CIDOC's Conceptual Reference Model

- FRBRoo version 0.9 in January 2008

FRBR/CRM Working Group

- To express the FRBR model with the concepts, tools, mechanisms, and notation conventions provided by the CIDOC CRM (creating an object-oriented FRBR known as FRBRoo)

- Enrich FRBR with concepts from CRM

- To contribute to semantic interoperability between the documentation structures used for library and museum information

FRBRoo and CIDOC CRM

- More information on the joint working group:
http://www.ifla.org/VII/s13/wgfrbr/FRBR-CRMdialogue_wg.htm
http://cidoc.ics.forth.gr/frbr_inro.html

- Drafts for public discussion
http://cidoc.ics.forth.gr/frbr_drafts.html

- CIDOC CRM
http://cidoc.ics.forth.gr/index.html

More Information

- On IFLANET:
http://www.ifla.org/VII/s13/wgfrbr/wgfrbr.htm
http://www.ifla.org/VII/d4/wg-franar.htm
http://www.ifla.org/VII/s29/wgfrsar.htm

- Join the FRBR discussion list:
http://infoserv.inist.fr/wwsympa.fcgi/info/frbr

- Read the FRBR blog:
http://www.frbr.org/

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Thank you!

Any questions?

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