

Memorandum

LIBRARY OF CONGRESS

6JSC/LC/8

TO: Joint Steering Committee for Revision of AACR DATE: Aug. 4, 2011

FROM: Barbara B. Tillett, LC Representative

SUBJECT: Revision of RDA 6.27.1.2 and RDA 6.27.1.3

LC is recommending changes to RDA 6.27.1.2 and 6.27.1.3 to add a missing step in the construction of authorized access points and to clarify that most serials will be identified only by the preferred title.

Two of LC's intended fast-track entries for 6.1.3.2.1 and 7.18.1.13 are shown in this proposal. If the JSC does not agree to handle these changes via the fast-track process, LC would ask the JSC to consider them to be part of this proposal.

Background

RDA 6.27.1.2 and 6.27.1.3 are the instructions for constructing authorized access points for works. However, those instructions do not refer to 6.27.1.9 when listing the steps in assembling the separate elements; although 6.27.1.1 does refer to the need for consulting 6.27.1.9, LC recommends adding a specific statement in the two instructions for authorized access points.

Except for a few personal-author serials (very often the title proper includes the name of the personal author), any person appearing in a statement of responsibility or elsewhere in the serial is not intended to be the person responsible for the intellectual or artistic content of the entire life of the serial. When that person resigns, retires, transfers to another position, is no longer under contract to a commercial publisher, etc., someone else will be assigned editorial responsibility for the next issue.

If a serial does not fit any of the categories in RDA 19.2.1.1.1, RDA 6.27.1.2 (only one person named as responsible) or RDA 6.27.1.3 (more than one person named on the issue) says to identify the serial work by using the authorized access point for the person (or principal/first-named person) and the preferred title; when that person no longer has responsibility for the serial, RDA 6.1.3.2.1 indicates a new description would be needed.

Note that one of LC's fast-track corrections will be to restore missing wording in RDA 6.1.3.2 paragraph a):

6.1.3.2.1 Change in Responsibility for the Work

If there is a change in responsibility, construct the authorized access point representing the work to reflect responsibility for the work as represented in the issue or part used as the basis for the new description (see 2.1). Consider changes in responsibility affecting the construction of the authorized access point representing the work to include the following:

- a) a change affecting the authorized access point representing a person, family, or corporate body that is used in constructing the authorized access point representing the work (see 6.27.1.1–6.27.1.8)]
- b) a change affecting the name of a person, family, or corporate body used as an addition to the authorized access point representing the work (see 6.27.1.9).

The same situation is in AACR2. LC wrote a *Library of Congress Rule Interpretation* saying to ignore persons as responsible entities for serials except in the few cases of personal-author serials. LC could write a comparable *Library of Congress Policy Statement* for LC and other CONSER catalogers but recommends fixing the problem in RDA instead.

Below is proposed wording for 6.27.1.2 and 6.27.1.3, following the model of the existing exception for moving image works.

As a separate issue, LC also recommends changing the label from “Motion pictures, etc.” to “Moving image works” for the second exception in 6.27.1.3. This is the only instruction in RDA using “motion pictures” as a label when the content of the instruction applies to all moving image works; the use of “motion pictures” in the other three instructions (6.9.1.3, 7.18.1.3, and 25.1.1.3) is appropriate. This important instruction for naming the moving image work is not retrieved when a key word search is used to find all instructions about moving image resources. It is not also retrieved when doing an Advanced search and limiting the Media type to “Video only.” Such retrieval in the Toolkit should be fixed.

LC notes that other clean-up work is needed in chapters 6 and 19 to make links from chapter 19 back to chapter 6 when using a creator in identifying a work, to fill in gaps in chapter 19 (e.g., to clarify the outcome when a person or family and a corporate body share responsibility for a resource), to consider moving the exceptions in 6.27.1.2 and 6.27.1.3 to chapter 19, and to reconsider the structure of chapter 19 (e.g., present the appropriate examples with each category of 19.2.1.1). Because one of the deferred issues (6JSC/Sec/1) is to “Consider whether a corporate body as creator should be determined on exactly the same basis as for persons (5JSC/M/204.6.3),” LC assumes that such clean-up work could be incorporated into a broader discussion for revising chapter 19.

Proposed revision of 6.27.1.2 and 6.27.1.3

6.27.1.2 Works Created by One Person, Family, or Corporate Body

If one person, family, or corporate body is responsible for creating the work (see 19.2.1.1), construct the authorized access point representing the work by combining (in this order):

- a) the authorized access point representing that person, family, or corporate body, formulated according to the guidelines and instructions given under 9.19.1 for persons, 10.10.1 for families, or 11.13.1 for corporate bodies, as applicable
- b) the preferred title for the work, formulated according to the instructions given under 6.2.2
- c) any additions to access points representing works given under 6.27.1.9.

[Examples]

Exception

Serials. When constructing the authorized access point to represent the work, precede the preferred title, formulated according to the instructions given under 6.2.2, with the authorized access point representing a person only if that serial would not be continued by another person as a creator.

For works of uncertain attribution, apply the instructions given under 6.27.1.8.

6.27.1.3 Collaborative Works

If two or more persons, families, or corporate bodies are collaboratively responsible for creating the work (see 19.2.1.1), construct the authorized access point representing the work by combining (in this order):

- a) the authorized access point representing the person, family, or corporate body with principal responsibility for the work, formulated according to the guidelines and instructions given under 9.19.1, 10.10.1, or 11.13.1, as applicable
- b) the preferred title for the work, formulated according to the instructions given under 6.2.2
- c) any additions to access points representing works given under 6.27.1.9.

[Examples]

[Alternative]

Exceptions

[Corporate body as creator]

[Example]

~~Motion pictures, etc.~~ **Moving image works**. For motion pictures, videos, video games, etc., construct the authorized access point representing the work using the preferred title for the work, formulated according to the instructions given under 6.2.2.

[Example]

Musical works. For collaborations between a composer and a lyricist, librettist, choreographer, etc., apply the instructions given under 6.28.1.2–6.28.1.4.

Serials. When constructing the authorized access point to represent the work, precede the preferred title, formulated according to the instructions given under 6.2.2, with the authorized access point representing a person only if that serial would not be continued by another person as a creator.

Treaties, etc. For treaties, etc., apply the instructions given under 6.29.1.15–6.29.1.20.

If two or more persons, families, or corporate bodies are represented as having principal responsibility for the work, construct the authorized access point representing the work using the authorized access point representing the first-named of those persons, families, or corporate bodies followed by the preferred title for the work.

[Examples]

If principal responsibility for the work is not indicated, construct the authorized access point representing the work using the authorized access point representing the first-named person, family, or corporate body followed by the preferred title for the work.

[Examples]

If there is no consistency in the order in which the persons, families, or corporate bodies responsible for the work are named in resources embodying the work or in reference sources, construct the authorized access point representing the work using the authorized access point representing the person, family, or corporate body named first in the first resource received, followed by the preferred title for the work.

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Change in label for 7.18.1.3

One of LC's fast-track entries will be to change the label for 7.18.1.3 so it can be found when searching for all instructions related to moving image resources. The instruction

itself indicates the restricted scope shown in the current label. The wording “moving image works” used in 6.27.1.3 cannot be used in 7.18.1.3 because the latter instruction applies to an expression attribute. LC proposes using the general wording “moving image resources” because that wording is used in chapter 2; the general wording “cartographic resource” is used in chapters 2 and 3.

7.18.1.3 Recording Sound Content

Record sound to indicate the presence of sound in a resource other than one that consists primarily of recorded sound.

[Examples]

Exception

~~Motion pictures and video recordings~~ **Moving image resources**. For motion pictures and video recordings, record sound or silent to indicate the presence or absence of a sound track.

[Example]