**To:**     Joint Steering Committee for Development of RDA

**From:**   John Attig, ALA Representative

**Subject:** Revision of RDA 11.5.1.3 (Recording Associated Institutions) and 9.13.1.3 (Recording Affiliations)

## Background and Rationale

The following revisions originated in a proposal submitted jointly to ALA on behalf of the Program for Cooperative Cataloging (PCC) Task Group to Formulate or Recommend PCC/NACO RDA Policy on Authority Issues and the PCC Secretariat.

The first proposal relates to the instructions for recording Associated Institutions (11.5.1.3). It seeks to clarify what is meant by "in the form and language recorded as the preferred name for the institution." We believe that this means that the preferred name of the associated institution should be recorded, and propose to state that directly.

The second proposal relates to the instructions for recording Affiliated Institution (9.13.1.3). In this case, there are no instructions about the form of the name of the affiliated institution to be recorded. We believe, however, that this instruction should be consistent with the instruction for recording associated institutions at 11.5.1.3, and that both should call for the use of the preferred name of the related body. In addition to the instruction at 9.13.1.3, the first example will also need to be changed.

During the discussion of the draft proposal, a number of important issues were raised. It was noted that associated/affiliated institutions stand in a relationship to a person or corporate body. Although FRAD and RDA have chosen to treat them as attributes of the person or corporate body, ALA believes that conceptually these are relationships, and that recording a relationship using the authorized access point representing the associated/affiliated body provides the most effective representation of this situation.

However, if associated/affiliated institutions are to be recorded as attributes of a person or corporate body, we strongly believe that there are significant benefits to recording them in a consistent form. If the elements are simply recorded to help catalogers identify the person or body, this might not be necessary. However, for machine matching or for use in qualifying a search, a consistent form of name would be much more effective.

The most effective consistent form would be the authorized access point representing the body – which is unique – rather that the preferred access point – which might not be. While the proposal calls for the use of the preferred name, use of the authorized access point might become a PCC policy or best practice. In practice, we anticipate that recording these elements would involve a search of the authority file and the use of the form of name found there; it might not require creation of an authority record if none were found.

## 1. RDA 11.5.1.3

**Proposed revision:**

**11.5.1.3**   **Recording Associated Institutions**

Record the name of an associated institution ~~in the form and language recorded as~~ <u>by using</u> the preferred name for the institution<u> (see 11.2.2)</u>.

[examples omitted; no change]

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**11.5.1.3**   **Recording Associated Institutions**

Record the name of an associated institution by using the preferred name for the institution (see 11.2.2).

[examples omitted; no change]

## 2. RDA 9.13.1.3

**Proposed revision:**

**9.13.1.3**   **Recording Affiliations**

Record the names of groups with which the person is affiliated or has been affiliated through employment, membership, cultural identity, etc.<u>, by using the preferred names for the groups (see 11.2.2)</u>.

> EXAMPLE
>
> ~~Department of Entomology, Cornell University~~
> <u>New York State College of Agriculture. Department of Entomology</u>
> **Affiliation of:** Ann E. Hajek
>
> [remaining examples omitted; no change]

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**9.13.1.3**   **Recording Affiliations**

Record the names of groups with which the person is affiliated or has been affiliated through employment, membership, cultural identity, etc., by using the preferred names for the groups (see 11.2.2).

> EXAMPLE
>
> New York State College of Agriculture. Department of Entomology
> **Affiliation of:** Ann E. Hajek
>
> [remaining examples omitted; no change]