

To: Joint Steering Committee for Development of RDA
From: Dave Reser, LC Representative
Subject: Expanding the scope of Statement of Responsibility in RDA 2.4 and eliminating the instructions for Performers, Narrators, Presenters (RDA 7.23), and Artistic and/or Technical Credits (RDA 7.24)

We thank ALA for continuing the investigation of the two chapter 7 elements and their relationship to manifestation attributes in chapter 2. In general, we agree with the decision to deprecate 7.23 and 7.24, with some additional comments noted below.

LC Responses to General Issues raised by ALA:

1. ***Attributes of the Expression:*** We agree that there can be difficulties understanding the perfect solution for attributes that are expression-oriented, yet generally transcribed or recorded from manifestations. The distinction is that statements of responsibility help a user to identify a manifestation of a resource in their form, e.g., “translation by John Green” on one manifestation and “translated by John Green” on another manifestation of the same expression of a work. We think that transcribing these statements about producers, performers, etc. enables better identification of the manifestation without sacrificing identification of the expression, which may be provided with authorized access points for performers, etc., and notes on expression.
2. ***Transcribe vs. record:*** We agree that giving the cataloger flexibility to *record* some statements of responsibility in a note on statement of responsibility provides a non-transcription solution that may be useful to the cataloger in some situations.
3. ***Scope of the Statement of Responsibility:*** Agree that RDA may now be in closer alignment with FRBR and ISBD with this proposal.
4. ***Scope of RDA 2.17.3, Note on Statement of Responsibility:*** Agree that there were few useful references in RDA to this instruction. LC proposes to add an important one at 2.4.2.3 (see below). We concur with the conclusion that the Note on statement of responsibility can still be mapped to the MARC 508 and 511, as appropriate.
5. ***The fate of RDA 7.23, Performer, Narrator, and/or Presenter, and RDA 7.24, Artistic and/or Technical Credit:*** LC has mixed feelings on the retention of 7.23 and 7.24 in the Toolkit once the concepts are incorporated into chapter 2. We believe that the treatment of 6.22 (Participant in a Treaty) was a practical solution rather than precedent-setting one, and note that the amount of re-numbering of subsequent sections of chapter 7 is far smaller than the impact on chapter 6 was. One factor may be whether the new RDA Content Management System simplifies the renumbering task.

Summary of proposed changes

1. Remove the 4th-6th paragraphs in RDA 2.4.1.1: **LC agrees**
2. Add an Alternative at RDA 2.4.1.6: **LC disagrees, alternative solution proposed in LC change 1**
3. Add four examples to RDA 2.4.2.3: **LC suggests that the Examples Editor review these**
4. Add a final sentence to RDA 2.17.3.5: **LC mostly agrees, alternative proposed in LC change 3**
5. Add 10 examples to RDA 2.17.3.5: **LC suggests that the Examples Editor review these for duplication and instances not covered**
6. Change RDA 7.23 to a reference to relevant instructions: **LC would like the JSC to discuss the precedent of 6.22**
7. Change RDA 7.24 to a reference to relevant instructions: **see 6. above**
8. Remove “Artistic and/or Technical Credit” from the RDA Glossary: **LC agrees (we note that “participant in a treaty” became a relationship designator in RDA, and is thus still defined; we believe the most common relationship designators appropriate for 7.23 and 7.24 already exist)**
9. Remove “Performer, Narrator, and/or Presenter” from the RDA Glossary: **see 8. above**
10. Remove 7 index entries associated with RDA 7.23 and 7.24: **LC agrees**
11. Remove references to RDA 7.23 and 7.24 in appendix D.1.1: **LC agrees, but notes that one other reference to 7.23 needs to be changed, see LC change 4.**

Alternatives to Some Proposed Changes

LC change 1:

ALA suggested an alternative at RDA 2.4.1.6 to record the names of entities associated with a resource in a note instead of the statement of responsibility. As executed in the proposal, we think the alternative could put the cataloger in conflict with the “core” statements at 2.4 and 2.4.2 that make the first recorded statement of responsibility related to the title proper “core.” We do not believe this was the intent, given that the Justification to the proposal indicated that the Note on statement of responsibility would only be used “after meeting the core requirement to transcribe the first statement of responsibility.” Instead of the proposed alternative at 2.4.1.6, we believe the concept is already present at the end of 2.4.2.3 (Recording Statements of Responsibility Relating to the Title Proper). This instruction already discusses situations where “not all statements of responsibility ... are being recorded”, but does not say what (if anything) to do with statements that are not being recorded in the statement of responsibility, even those meeting the current statement of responsibility scope. Adding an additional paragraph at the end of 2.4.2.3 will solve that problem, and also provides the link to 2.17.3 desired by ALA for catalogers that choose not to record all statements in the statement of responsibility.

2.4.2.3 Recording Statements of Responsibility Relating to Title Proper

Record statements of responsibility relating to title proper by applying the basic instructions at [2.4.1 RDA](#).

[examples omitted]

If not all statements of responsibility appearing on the source or sources of information are being recorded, give preference to those identifying creators of the intellectual or artistic content. In case of doubt, record the first statement.

Make a note on persons, families, or corporate bodies not recorded in the statement of responsibility if considered important for identification or access (see 2.17.3).

LC Change 2:

The new Brahms and Beethoven examples proposed for 2.4.2.3 seem to represent the same situation (identifying a ‘creator’ as well as ‘performers’), so appear to be duplicative. We suggest that the Examples Editor review the box with the proposed additions for duplications and types of statements of responsibility that may be missing.

LC Change 3:

Given the expected increase in using 2.17.3 Note on Statement of Responsibility to record lengthy information found on a resource when it is not practicable to transcribe it as a statement of responsibility, we believe the scope at 2.17.3.5 needs broadening and 2.17.3 should be tweaked. We also propose to modify the ALA wording for 2.17.3.5 to generalize the wording for stating the role of the person, family, or corporate body (from 2.4.1.7)—we prefer to avoid the use of “statement of function,” as this phrase would now only appear in instructions for producers, publishers, distributors, or manufacturers.

2.17.3 Note on Statement of Responsibility

2.17.3.1 Scope

A *note on statement of responsibility*▼ is a note providing information on:

a person, family, or corporate body not named in a statement of responsibility to whom responsibility for the intellectual or artistic content of the resource has been attributed
variant forms of names appearing in the resource
changes in statements of responsibility

or

other information ~~details~~ relating to a statement of responsibility.

[Intervening instructions omitted]

2.17.3.5 Other Information Relating to a Statement of Responsibility

Make notes on other details relating to statement of responsibility, including information not recorded in the statement of responsibility element, if considered important for identification or access. Include a word or short phrase if necessary to clarify the role of a person, family, or corporate body named in the note.

LC Change 4:

Modify the last instruction paragraph in 2.4.1.5

[first part of instruction and examples unchanged]

If the members of a group, ensemble, company, etc., are named as well as the group, etc., omit the names of the members from the statement of responsibility. If they are considered important for identification, access, or selection, record them in a note on statement of responsibility (see 2.17.3). ~~statement identifying performers, narrators, and/or presenters (see 7.23 RDA)~~.

[examples following the paragraph unchanged]