

Memorandum

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5JSC/LC rep/3

TO: Joint Steering Committee for Development of RDA DATE: May 15, 2008

FROM: Barbara B. Tillett, LC Representative

SUBJECT: Incorporating “changes over time” into RDA’s instructions on naming the work

During the April 2008 JSC meeting, the LC representative was asked to prepare a discussion paper to present LC’s concern that the RDA Section 2-4, 9 draft chapters did not address “changes over time” in relation to naming the work. Because the JSC did not discuss that paper in Chicago, the JSC asked the LC representative to prepare proposed wording for RDA.

The content of this document is divided into the following sections:

- revised wording for RDA 1.6
- wording for a new RDA 6.1.2
- revised wording for RDA 18.4.2c
- a summary of actions, for background when reading this paper, giving the AACR2 practice when there is a change affecting number of descriptions and the main entry.

The proposed new and revised wording does contain terminology (e.g., “preferred access point”) JSC decided in April 2008 would be removed from the RDA text; no attempt was made to replace that terminology with the expectation that the RDA Editor would adjust the wording as appropriate. Also, due to time constraints, the proposed revised wording does not use strike-out and double underlining techniques for deleted and new wording.

A. Revised wording for RDA 1.6

LC proposes:

- the rewording of 1.6.1.1b to refer to “creator” now that JSC is redefining creators to include originating bodies;
- the addition of 1.6.1.1c because the parenthetical corporate body isn’t a creator;
- the deletion of 1.6.2 because a re-based resource is a different work and so would be represented by its own description.

Clean copy (except for 1.6.2 deletion) of these proposals:

1.6 CHANGES REQUIRING A NEW DESCRIPTION

1.6.0 GENERAL GUIDELINES

- 1.6.0.1 Change in the mode of issuance of the resource. Create a new description (see 2.12, 6.1.2.1).
- 1.6.0.2 Change in the media type of the resource. Create a new description (see 3.2, 6.1.2.2.1, 6.1.2.3.1, 6.1.2.4.1).

1.6.1 SERIALS

- 1.6.1.1 When describing a serial, create a new description if any of the following changes occur:
- a) a major change in the title proper (see 2.3.1.11b, 6.1.2.3.2)
 - b) a change in the creator of the serial as a work (see 6.1.2.3.3, 18.4.2)
 - c) a change in the person, family, or corporate body used to distinguish the serial work (see 6.1.2.3.4, 18.4.2).

~~1.6.2 INTEGRATING RESOURCES~~

- ~~1.6.2.1 When describing an integrating resource, create a new description if the resource is re-based.~~

B. Wording for a new RDA 6.1.2

The discussion paper distributed in Chicago proposed adding the new wording either as a new 6.1.1.7 or as a new “three-numbered” section. LC recommends a three-numbered section to be able more easily to subdivide the content. The proposed wording is given below as 6.1.2 with the understanding that the 6.1 section will be moved to the end of the chapter before the full draft is distributed.

A change in the mode of issuance is covered separately in 6.1.2.1 to focus on the new mode of issuance. (LC notes that the need to create a separate description when the mode of issuance changes is a requirement based on MARC 21; only one work is involved but MARC 21 doesn't allow coding for multiple modes of issuance.) The remaining changes occur within the same mode of issuance each time and are organized by that mode of issuance in 6.1.2.2-6.1.2.4.

Actions related to distinguishing works and indicating relationships are not included in these instructions.

For your reference, the instructions in RDA related to changes of the transcribed elements are listed:

- title proper (RDA 2.3.1.11)
- statement of responsibility (2.4.0.10) for serials when a change results in a new

description.

6.1.2 CONSTRUCTION OF THE PREFERRED ACCESS POINT FOR A WORK THAT HAS CHANGED OVER TIME

Contents

- 6.1.2.1 Change in mode of issuance
- 6.1.2.2 Multipart monographs
 - 6.1.2.2.1 Change in media type
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 - 6.1.2.2.3 Change in creator
 - 6.1.2.2.4 Change in person, family, corporate body used to distinguish the work in the preferred access point for the work
- 6.1.2.3 Serials
 - 6.1.2.3.1 Change in media type
 - 6.1.2.3.2 Change in title proper
 - 6.1.2.3.3 Change in creator
 - 6.1.2.3.4 Change in person, family, corporate body used to distinguish the work in the preferred access point for the work
- 6.1.2.4 Integrating resources
 - 6.1.2.4.1 Change in media type
 - 6.1.2.4.2 Change in title proper
 - 6.1.2.4.3 Change in creator
 - 6.1.2.4.4 Change in person, family, corporate body used to distinguish the work in the preferred access point for the work

6.1.2.1 CHANGE IN MODE OF ISSUANCE

- 6.1.2.1.1 When there is a change in the mode of issuance, use or create a preferred access point based on the new mode of issuance listed below:
 - a) Multipart monographs and serials: In the new description, use the preferred access point for the work based on the first/earliest part.
 - b) Integrating resources: Base the preferred access point for the work on the latest iteration.

6.1.2.2 MULTIPART MONOGRAPHS

- 6.1.2.2.1 Change in media type. In the new description, use the preferred access point for the work based on the first/earliest part in the original description.
- 6.1.2.2.2 Change in title proper. Use the preferred access point for the work based on

the first/earliest part.

- 6.1.2.2.3 Change in creator. Use the preferred access point for the work based on the first/earliest part.
- 6.1.2.2.4 Change in the person, family, corporate body used to distinguish the work in the preferred access point for the work. Use the preferred access point for the work based on the first/earliest part.

6.1.2.3 SERIALS

- 6.1.2.3.1 Change in media type. In the new description, use the preferred access point for the work based on the first/earliest part in the original description.
- 6.1.2.3.2 Change in title proper. If the change in title proper is major (see 2.3.1.11b), construct a preferred access point for the segment of the work represented by the new description; base the preferred access point on information from the earliest issue or part of that segment. If the change in title proper is minor, use the preferred access point for the earliest issue or part of that segment.
- 6.1.2.3.3 Change in creator. Construct a preferred access point for the segment of the work represented by the new description; base the preferred access point on information from the earliest issue or part of that segment.
- 6.1.2.3.4 Change in the person, family, corporate body used to distinguish the work in the preferred access point for the work. Construct a preferred access point for the segment of the work represented by the new description; base the preferred access point on information from the earliest issue or part of that segment.

6.1.2.4 INTEGRATING RESOURCES

- 6.1.2.4.1 Change in media type. Base the preferred access point on the latest iteration.
- 6.1.2.4.2 Change in title proper. Revise the preferred access point for the work.
- 6.1.2.4.3 Change in creator. Revise the preferred access point for the work.
- 6.1.2.4.4 Change in the person, family, corporate body used to distinguish the work in the preferred access point for the work. Revise the preferred access point for the work.

C. Revised wording for RDA 18.4.2c

Because LC thinks that “responsibility” in 18.4.2 covers creators (19.2), originating bodies (19.3), and other person, family, or corporate body associated with the work (19.4), no

further revision is needed in chapter 18 or chapter 19 to add instructions to accommodate changes over time of those entities related to multipart monograph works, serial works, and integrating resource works.

However, the instruction at 18.4.2c does not match its counterpart provisions on data elements in chapter 2: to change the existing preferred access point to the new responsible entity and “move” the existing access point for the earlier responsible entity. The single instruction at 18.4.2c has been divided into two instructions to separate the situations of affecting and not affecting the preferred access point in the single description.

Clean copy of this proposal:

18.4.2. CHANGE IN RESPONSIBILITY

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18.4.2c c) Integrating resources

18.4.2c.1 If a change in responsibility occurs between iterations of an integrating resource and the change does not require a change to the preferred access point representing the integrating resource as a work, provide additional access points for any persons, families or bodies associated with the current iteration.

18.4.2c.2 If a change in responsibility occurs between iterations of an integrating resource and the change requires a change to the preferred access point representing the integrating resource as a work, revise the preferred access point. Provide additional access points for any persons, families or bodies associated with the earlier iteration.

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Summary of AACR2 practice (background information using AACR2 concepts and terminology)

All modes of issuance:

Change in media type: Prepare a new description and use a uniform title if needed to distinguish. Link the two records together.

All modes of issuance:

Change in mode of issuance: Prepare a new description and use a uniform title if needed to distinguish. Link the two records together.

Multipart monographs:

A. When the preferred title for a work changes over the life of a work issued

as a multipart monograph or there is a change in the responsible entity, do not prepare a new description and do not revise the main entry based on the first/earliest part. Add an access point for the new title and/or any new person or corporate body.

Serials:

A. Change in title proper

1. Major change: prepare a new description. Establish the main entry based on the earliest issue or part presenting that major title change. Link the two records together.

2. Minor change: add an access point for the minor change to the existing description. Do not change the main entry.

B. Change in originating body or in body used in qualifier for the uniform title: new description. Establish the main entry based on the earliest issue or part presenting that change. Link the two records together.

Integrating resources:

If there is a change in the title proper or a change in the responsible body affecting the main entry, revise the existing description. Add an access point for the earlier title and/or any earlier person or corporate body.