Information session intended for technical services employees of Quebec university libraries
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RDA

RESOURCE DESCRIPTION AND ACCESS

Basic concepts in RDA: part 2

FRBR Group 2 entities and FRAD
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FRAD

FRBR Entities: recap

- Group 1: Products of intellectual or artistic endeavour
  - Work, Expression, Manifestation, Item
- Group 2: Entities responsible for the intellectual or artistic content, the physical production and dissemination, or the custodianship of the group 1 entities
  - Person, Corporate body
- Group 3: Subjects of works
  - Concept, Object, Event, Place (+ group 1 and 2 entities)

Extension of FRBR model to authority data

- FRANAR (IFLA Working Group on Functional Requirements and Numbering of Authority Records) established in April 1999
- First term of reference: To define functional requirements of authority records
- Final report: *Functional requirements for authority data*
  - Submitted in 2008, approved and published in 2009

FRAD

- Purpose (FRAD, 13):
Provide a framework for the analysis of functional requirements for the kind of authority data that is required to support authority control and for the international sharing of authority data. The model focuses on data, regardless of how it may be packaged (e.g., in authority records).
More specifically, the conceptual model has been designed to:

  - provide a clearly defined, structured frame of reference for relating the data that are recorded by authority record creators to the needs of the users of that data;

  - assist in an assessment of the potential for international sharing and use of authority data both within the library sector and beyond.

Users according to FRAD

- Authority data creators who create and maintain authority data
- End users who use authority information
  - either through direct access to authority data
  - or indirectly through the controlled access points and reference structures

User tasks according to FRAD

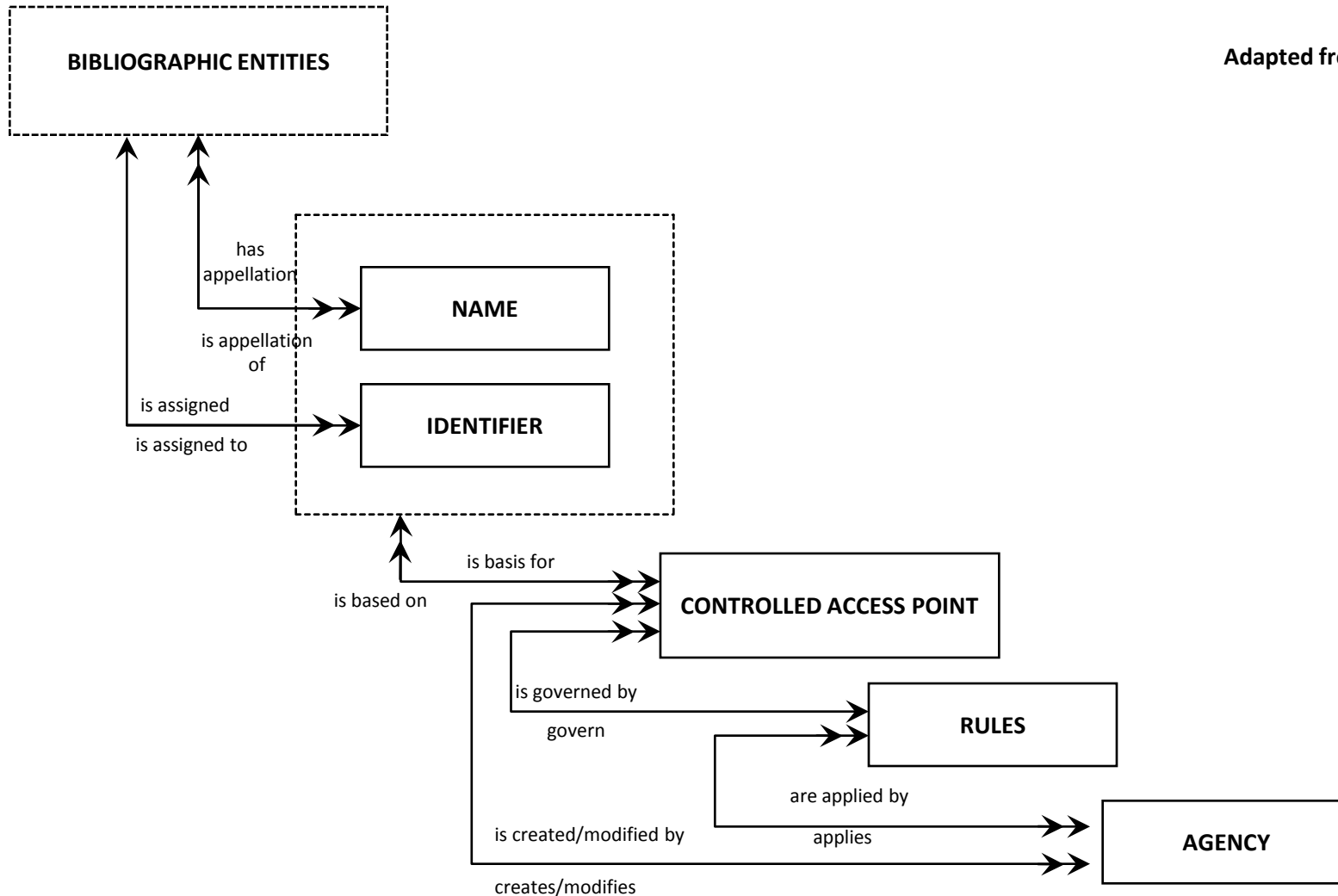|	
Find	•Find entities corresponding to stated criteria •Explore the universe of bibliographic entities
Identify	•Identify an entity to confirm that it corresponds to the entity sought or to distinguish between two or more entities) •Validate the form of name to be used for a controlled access point
Contextualize	•Place an entity in context •Clarify the relationship between two or more bibliographic entities or between a bibliographic entity and a name
Justify	•Document the reasons for choosing the name or form of name on which a controlled access point is based

FRAD entities

- **FRBR bibliographic entities**
  - FRAD adds "family" to group 2 entities
- **Name**
  - A character or group of words and/or characters by which an entity is known in the real world
- **Identifier**
  - A number, code, word, phrase, logo, device, etc., that is associated with an entity, and serves to differentiate that entity from other entities within the domain in which the identifier is assigned

FRAD entities

- Controlled access point
  - A name, term, code, etc., under which a bibliographic or authority record or reference will be found
- Rules
  - A set of instructions relating to the formulation and/or recording of controlled access points (including references)
- Agency
  - An organization responsible for creating or modifying a controlled access point

Conceptual model for authority data

Group 2 entities: definitions

	FRBR	FRAD	RDA
Person	An individual	An individual or a persona or identity established or adopted by an individual or group	An individual or an identity established by an individual (either alone or in collaboration with one or more other individuals)
Family	n/a	Two or more persons related by birth, marriage, adoption, civil union, or similar legal status, or who otherwise present themselves as a family	
Corporate body	An organization or group of individuals and/or organizations acting as a unit	An organization or group of persons and/or organizations identified by a particular name acting as a unit	An organization or group of persons and/or organizations that is identified by a particular name and that acts, or may act, as a unit

Group 2 entities: attributes

Person	Family	Corporate body
•Dates associated with the person •Title of person •Gender * •Place of birth * •Place of death * •Country * •Place of residence * •Affiliation * •Address * •Language of person * •Field of activity * •Profession/occupation * •Biography/history * •Other information associated with the person	•Type of family •Dates of family •Places associated with family •Field of activity •History of family	•Place associated with the corporate body •Dates associated with the corporate body •Language of the corporate body * •Address * •Field of activity * •History * •Other information associated with the corporate body

* Attributes marked with an asterisk represent additions to those identified in FRBR.

Group 2 entities in RDA

RDA | **TOOLS** | **RESOURCES**

- **RDA**
  - + **0:** Introduction
  - − Recording Attributes of . . .
    - + Section 1: Manifestation & Item
    - + Section 2: Work & Expression
    - **+ Section 3: Person, Family, & Corporate Body**
    - + Section 4: Concept, Object, Event & Place
  - + Recording Primary Relationships . . .
  - + Recording Relationships to . . .
  - + Recording Relationships between . . .
  - + Appendices
  - + Glossary

Group 2 entities in RDA

Group 2 entities in RDA

RDA | TOOLS | RESOURCES

- **9:** Identifying Persons

  - **9.0** Purpose and Scope
  - + **9.1** General Guidelines on Identifying Persons

  General instructions

  - + **9.2** Name of the Person
  - + **9.3** Date Associated with the Person
  - + **9.4** Title of the Person
  - + **9.5** Fuller Form of Name
  - + **9.6** Other Designation Associated with the Person
  - + **9.7** Gender
  - + **9.8** Place of Birth
  - + **9.9** Place of Death
  - + **9.10** Country Associated with the Person
  - + **9.11** Place of Residence
  - + **9.12** Address of the Person
  - + **9.13** Affiliation
  - + **9.14** Language of the Person
  - + **9.15** Field of Activity of the Person
  - + **9.16** Profession or Occupation
  - + **9.17** Biographical Information
  - + **9.18** Identifier for the Person

  Instructions on attributes

  - + **9.19** Constructing Access Points to Represent Persons

  Instructions on access points

Example of instruction: element

9.16 Profession or Occupation

CORE ELEMENT

Profession or occupation is a core element for a person whose name consists of a phrase or appellation not conveying the idea of a person. For other persons, profession or occupation is a core element when needed to distinguish a person from another person with the same name.

9.16.1 Basic Instructions on Recording Professions or Occupations

9.16.1.1 Scope

Profession or occupation▾ is a profession or occupation in which a person works or has worked.

9.16.1.2 Sources of Information

Take information on the profession or occupation of the person from any source.

9.16.1.3 Recording Professions or Occupations

Record the profession or occupation in which the person works or has worked.

Indicate the source from which the information on profession or occupation was derived applying the instructions given under **8.12 RDA**.

Example of instruction: access points

9.19 Constructing Access Points to Represent Persons

9.19.1 Authorized Access Point Representing a Person

9.19.1.1 General Guidelines on Constructing Authorized Access Points to Represent Persons

When constructing an authorized access point to represent a person, use the preferred name for the person (see **9.2.2** RDA) as the basis for the access point.

Make additions to the name as instructed under **9.19.1.2–9.19.1.7** RDA, in that order, as applicable.

Make the additions specified under **9.19.1.2** RDA regardless of whether they are needed to distinguish the person from another person with the same name.

Make the additions specified under **9.19.1.3–9.19.1.7** RDA if they are needed to distinguish the person from another person with the same name.

Optional Addition **LCPS**

Make the additions specified under **9.19.1.3–9.19.1.5** RDA even if there is no need to distinguish persons with the same name.

If no suitable addition is available, use the same access point for all persons with the same name, and use an undifferentiated name indicator (see **8.11** RDA) to designate the name as one that is undifferentiated.

AACR2 → RDA

Elements from MARC authority format introduced in RDA

RDA

- Status of identification (RDA 8.10)

- Undifferentiated name indicator (RDA 8.11)

- Source consulted (RDA 8.12)

- Cataloguer's note (RDA 8.13)

MARC FIELD

- 008/33, Level of establishment

- 008/32, Undifferentiated personal name

- 670, Source data found

- 667, Nonpublic general note

Persons

- Concept of person is expanded to fictitious people

AACR2

100 1# $a Schickele, Peter.
245 10 $a 1712 overture :
$b for rather large
orchestra / $c P.D.Q.
Bach ; festively edited
by Peter Schickele.

RDA

100 1# $a Bach, P. D. Q.
245 10 $a 1712 overture :
$b for rather large
orchestra / $c P.D.Q.
Bach ; festively edited
by Peter Schickele.
700 1# $a Schickele, Peter.

Persons

- Terms, etc., indicating relationships such as Sr., Jr., etc., are considered to be part of the name

AACR2

100 1# $a Smith, Joseph, $d 1771-1840
100 1# $a Smith, Joseph, $d 1805-1844
100 1# $a Smith, Joseph, $d 1832-1914

Terms not added because dates are available

RDA

100 1# $a Smith, Joseph, $c Sr., $d 1771-1840
100 1# $a Smith, Joseph, $c Jr., $d 1805-1844
100 1# $a Smith, Joseph, $c III, $d 1832-1914

Dates for persons

AACR2

100 1# $a Smith, John, $d 1900 Jan. 10-

100 1# $a Smith, John, $d 1836 or 7-1896

100 1# $a Smith, John, $d b. 1825-

100 1# $a Smith, John, $d d. 1859-

100 1# $a Johnson, Carl F., $d fl. 1893-1940

RDA

100 1# $a Smith, John, $d 1900 January 10-

100 1# $a Smith, John, $d 1836 or 1837-1896

100 1# $a Smith, John, $d 1825- *(LC practice for test)*

100 1# $a Smith, John, $d -1859 *(LC practice for test)*

100 1# $a Johnson, Carl F., $d active 1893-1940 *(LC practice for test)*

Additions to distinguish identical personal names

AACR2

In priority order (for names with surname as the entry element):
- Dates
- Fuller form of name
- Qualifier that appears in the source
  - term of honour
  - term of address
  - title of position or office
  - initials of an academic degree or denoting membership in an organization
  - etc.

RDA

In priority order (for all personal names):
- Date of birth and/or death
- Fuller form of name
- Period of activity of the person
- Profession or occupation
- Field of activity of the person

Note that under certain conditions in RDA, terms of address may be included in the name but can't be used as additions.

Additions to names of persons

- In RDA, profession/occupation and field of activity are always added in parentheses, even for names in which the entry element is a surname

  - Horace (French-Canadian poet)
  - Hudson, David (Didjeridu player)
  - Hudson, David (Reference librarian)

Pseudonyms

AACR2

- Rules make distinctions between various categories of authors
  - authors who only use a pseudonym
  - authors with separate bibliographic entities
  - contemporary authors
  - other authors

RDA

- As many names as there are entities (except if only a pseudonym is used)

Families

- Concept of « family » is introduced in RDA
- Previously treated and established as subject headings
- Elements:

- Name of the family
- Type of family
- Date associated with the family
- Place associated with the family

- Prominent member of the family
- Hereditary title
- Family history
- Identifier for the family

Access points for families

- Preferred name of the family + additions
- Possible additions
  - Type of family

    100 3# Bourbon (Royal house)
  - Date associated with the family

    100 3# Pahlavi (Dynasty : $d 1925–1979)
  - Place associated with the family (if necessary to distinguish)

    100 3# Yan (Family : $c China)
  - Prominent member of the family (if necessary to distinguish)

    100 3# Peale (Family : $g Peale, Charles Willson, 1741–1827)

Conferences and events

AACR2

111 2# $a Comparative Canadian Literature Conference

111 2# $a Expo 67 $c (Montréal, Québec)

111 2# $a Montreal International Lymphedema Congress $n (1st : $d 2003)

RDA

111 2# $a Annual Comparative Canadian Literature Conference

111 2# $a Expo $d (1967 : $c Montréal, Québec)

111 2# $a Montreal International Lymphedema Congress $n (1st : $d 2003 : $c Montréal, Québec)

MARC Authority Format

New fields for name attributes				
Tag	Name	Person	Family	Corporate body
046	Special Coded Dates (R)	✓	✓	✓
370	Associated place (R)	✓	✓	✓
371	Address (R)	✓		✓
372	Field of Activity (R)	✓		✓
373	Affiliation (R)	✓		
374	Occupation (R)	✓		
375	Gender (R)	✓		
376	Family Information (R)		✓	
377	Associated Language (R)	✓		✓

Example of authority record for a person (source: LC documentation for RDA test)

040 ## $a DLC $b eng $c DLC $e rda

046 ## $f 1943

100 1# $a Ondaatje, Michael, $d 1943-

370 ## $a Colombo, Sri Lanka $v Wikipedia

370 ## $e England $s 1954 $t 1962 $u
   http://en.wikipedia.org/wiki/Michael_Ondaatje

370 ## $c Canada $e Canada $2 naf $s 1962

372 ## $a novelists $a poets $2 lcsh $s 1976 $t 2010 $v Wikipedia

372 ## $a poetry editor $u http://en.wikipedia.org/wiki/Michael_Ondaatje

373 ## $a Order of Canada $2 naf $s 1988 $v Wikipedia

373 ## $a Foreign Honorary Member of the American Academy of Arts and
   Letters $s 1988 $t 2010 $u
   http://en.wikipedia.org/wiki/Michael_Ondaatje

374 ## $a professor of English

Example of authority record for a person

(cont'd)

670 ## $a The dainty monsters, 1967: $b t.p. (Michael Ondaatje)

670 ## $a HighBeam encyclopedia, via WWW, Oct. 11, 2007 $b (Ondaatje, Michael, 1943-, Canadian writer, b. Colombo, Sri Lanka (then Ceylon). Emigrating (1962) to Canada, he attended the Univ. of Toronto (B.A., 1965) and Queen's Univ., Ontario (M.A., 1967)

670 ## $a Wikipedia, Oct. 11, 2007 $b (Michael Ondaatje; Philip Michael Ondaatje, OC, b. 12 Sept. 1943; Sri Lankan Canadian novelist and poet; in 1954 he moved to England with his mother; after relocating to Canada in 1962, Ondaatje became a Canadian citizen)

040 ## $a DLC $b eng $c DLC $e rda

046 ## $s 1559 $t 1736

100 3# $a Nayak (Dynasty : $d 18th century : $c Madurai, India)

370 ## $e Madurai, India

376 ## $a Dynasty

500 0# $w r $i Family member: $a Kumāraliṅka Nāyakkar, $d active 18th century

500 3# $w r $i Descendant family: $a Nayak (Dynasty : $c Thanjavure, India)

667 ## $a SUBJECT USAGE: This heading is not valid for use as a subject; use a family name heading from LCSH.

670 ## $a The Nayak dynasties of India, 2009: $b (generally lived in Madurai, India in the 18th century, around the palace of Kumāraliṅka Nāyakkar)

040 ## $a DLC $b eng $c DLC $e rda

046 ## $s 1944

110 2# $a Canadian Standards Association

370 ## $c Canada $e Mississauga, Ont.

371 ## $a 5060 Spectrum Way, Suite 100 $b Mississauga $c Ontario $d Canada $3 L4W 5N6

371 ## $m member@csa.ca

377 ## $a eng $a fre

410 2# $a CSA

410 2# $a CSA Group. $b Canadian Standards Association

510 2# $w r $i Hierarchical superior: $a CSA Group

510 2# $w a $a Canadian Engineering Standards Association

670 ## $a A sustainable forest management system, 1996: $b t.p. (Canadian Standards Association, CSA)

670 ## $a NLC, Jan. 20, 1998 $b (Canadian Standards Association, name changed June 1944 from Canadian Engineering Standards Association)

Potential use of new elements in catalog displays

AACR2

Hudson, David

Hudson, David, 1937-

Hudson, David, 1977-

Hudson, David, didjeridu player

RDA

Hudson, David
    Country: Australia
    Field of activity: Didjeridu player
Hudson, David
    Country: France
    Field of activity: Author of juvenile books
Hudson, David, 1937-
    Affiliation: University of Iowa Libraries
    Profession: Reference librarian
Hudson, David, 1977-
    Affiliation: Department of Political Science & International Studies, University of Birmingham

Questions?