

To: Joint Steering Committee for Development of RDA
From: Kathy Glennan, ALA Representative
Subject: Priority order for additions to authorized access points representing a person
(Revision of RDA 9.19)

ALA thanks BL for this proposal to address concerns associated with the priority order for additions to authorized access points representing a person. We agree that RDA should offer more flexibility in this regard and support separating out instructions for *Period of Activity of the Person* and *Profession or Occupation*. However, we think there are also broader implications for 9.19. Using the BL proposal as basis, we have prepared a significant revision that takes into account the following issues:

1. *Clarifying in 9.19.1.1 that titles and dates are preferred over other additions to the authorized access point.* The preference for recording a *Title or Other Designation Associated with the Person* (9.19.1.2) and/or a *Date of Birth and/or Death* (9.19.1.3) can be determined based on a close reading of all of the instructions in 9.19. However, ALA believes that an explicit statement at the beginning of this instruction would be a better way of conveying this information. Thus, we have proposed adding an instruction in 9.19.1.1 to specify this preference. We believe that using the phrase “as appropriate” in the proposed 9.19.1.1.b conveys the ability for catalogers to choose the most relevant attributes.
2. *Selecting the most authoritative date to record.* In our response to 6JSC/BL/18, ALA recommended recording multiple dates, including those for a specific attribute when reference sources do not agree. If the JSC approves this approach, then instructions need to be added to 9.19 about selecting the most authoritative of these dates. We have proposed a new sentence in 9.19.1.3, *Date of Birth and/or Death*, and in 9.19.1.5, *Period of Activity of the Person*, to address this situation.
3. *Minor editorial revisions.* In the newly renumbered 9.19.1.7, ALA recommends changing the phrase “if it is needed to distinguish” to “if needed to distinguish”. We note that the former phrasing only appears in this instruction in RDA currently; the latter phrasing currently appears 13 times.

Future considerations:

If ALA’s suggestion to add instructions about selecting the most authoritative date is accepted, a similar change needs to be made to 10.11.1.3, *Date Associated with the Family*. Similarly, if the instructions for recording date of establishment, date of termination and/or period of activity of the body (RDA 11.4.3-11.4.5) are expanded to allow recording different values for the same attribute, 11.13.1.5, *Date Associated with the Body*, would also need a sentence about selecting the most authoritative date.

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ACCESS POINTS REPRESENTING PERSONS

9.19 Constructing Access Points to Represent Persons

9.19.1 Authorized Access Point Representing a Person

9.19.1.1 General Guidelines on Constructing Authorized Access Points to Represent Persons

When constructing an authorized access point to represent a person, use the preferred name for the person (see [9.2.2](#)) as the basis for the authorized access point.

EXAMPLE

Fitzgerald, Ella

Preferred name for the person: Ella Fitzgerald

Masson-Vincourt, Marie-Paule

Preferred name for the person: Marie-Paule Masson-Vincourt

Bolingbroke, Henry St. John, Viscount

Preferred name for the person: Henry St. John, Viscount Bolingbroke

Leonardo, da Vinci

Preferred name for the person: Leonardo da Vinci

C. G. A. v. Z.

Preferred name for the person: C.G.A.v.Z.

Buckskin Bill

Preferred name for the person: Buckskin Bill

Add the following elements in this order of preference:

- a) title or other designation associated with the person (see [9.19.1.2](#)), and/or date of birth and/or death (see [9.19.1.3](#))
- b) one or more of the following elements if needed to distinguish access points representing different persons, as appropriate:
 - fuller form of name (see [9.19.1.4](#))
 - period of activity of the person (see [9.19.1.5](#))
 - profession or occupation (see [9.19.1.6](#))
 - other term of rank, honour, or office (see [9.19.1.7](#))
 - other designation (see [9.19.1.8](#))

EXAMPLE

Carlos, Prince of Asturias

Clement I, Pope

More, Thomas, Saint

Luke, Saint (Spirit)

Smith, John, 1978–

Smith, John, 1832–1911

Allen, Richard (Richard H.)

Allen, Richard (Richard Ian Gordon)

Person whose name consists of a phrase or appellation not conveying the idea of a person. When constructing an authorized access point for a person whose

name consists of a phrase or appellation not conveying the idea of a person, apply the following instructions even if not needed to distinguish access points representing different persons with the same name. Add after the name:

- a) a term indicating profession or occupation (see 9.16) for human entities
or
- b) a term indicating fictitious or legendary character (see 9.6.1.7)
or
- c) a term indicating type, species or breed for real non-human entities (see 9.6.1.8).

[Examples unchanged]

Make the additions specified at 9.19.1.2–9.19.1.7.8 if they are needed to distinguish access points representing different persons with the same name.

Optional Addition

Make the additions specified at 9.19.1.2–9.19.1.7.8 even if they are not needed to distinguish access points representing different persons with the same name.

If no suitable addition is available, use the same access point for all persons with the same name. Use an undifferentiated name indicator (see 8.11) to indicate that the name is undifferentiated.

[Examples unchanged]

ADDITIONS TO ACCESS POINTS REPRESENTING PERSONS

...

9.19.1.3 Date of Birth and/or Death

Add the date of birth (see 9.3.2) and/or date of death (see 9.3.3) if needed to distinguish one access point from another. If reference sources provide differing information about a person's birth or death date, use the most authoritative date. Record the year alone.

[Examples unchanged]

Add the month or month and day if needed to distinguish one access point from another.

[Examples unchanged]

Optional Addition

Add the date of birth and/or death even if there is no need to distinguish between access points.

[Examples unchanged]

9.19.1.4 Fuller Form of Name

Add a fuller form of the person's name (see 9.5) if needed to distinguish one access point from another. ~~Make this addition when the person's date of birth or date of death is not available (see 9.19.1.3).~~

[Examples unchanged]

Optional Addition

Add a fuller form of name even if there is no need to distinguish between access points.
Add the fuller form of name before the date of birth and/or death.

[Examples unchanged]

9.19.1.5 Period of Activity of the Person ~~and/or Profession or Occupation~~

Add the period of activity of the person (see 9.3.4) ~~or profession or occupation (see 9.16)~~ if needed to distinguish one access point from another. . If reference sources provide differing information about a person's period of activity, use the most authoritative date or dates.

~~Make this addition when the following elements are not available:~~

~~date of birth and/or death (see 9.19.1.3)~~

~~or~~

~~fuller form of name (see 9.19.1.4).~~

EXAMPLE

~~Addition of a Period of Activity~~

~~Smith, John, flourished 1705~~

~~Smith, John, active 1719–1758~~

~~Xu, Zhen, active 1377~~

~~Xu, Zhen, jin shi 1523~~

~~Allen, Charles, 17th century~~

~~Allen, Charles, 18th century–19th century~~

EXAMPLE

~~Addition of a Profession or Occupation~~

~~Johannes (Notary)~~

~~A. K. (Musician)~~

~~Chris (Rapper)~~

~~Hancock, Mary (Architect)~~

~~Butler, Jean (Composer)~~

~~Hall, Daniel (Tax collector)~~

~~Orr, Marjorie (Veterinarian)~~

~~Watt, James (Gardener)~~

~~Brown, Carol (Flutist)~~

Optional Addition

Add the period of activity of the person ~~and/or profession or occupation in any order~~ even if there is no need to distinguish between access points.

EXAMPLE

Bal'amī Abū 'Alī Muḥammad ibn Muḥammad, flourished 946–973

Aelfgifu, Queen, consort of Edwy, King of England, flourished 956

Callistratus, of Aphidna, flourished 377 B.C.–361 B.C.

Adam II, Abbot of Dore, flourished 1216–1226

Cai, Shangxiang, jin shi 1761

Zacharias (Notary), active 1232–1274

9.19.1.6 Profession or Occupation

Add the profession or occupation (see 9.16) if needed to distinguish one access point from another.

EXAMPLE

Johannes (Notary)

A. K. (Musician)

Chris (Rapper)

Hancock, Mary (Architect)

Butler, Jean (Composer)

Hall, Daniel (Tax collector)

Orr, Marjorie (Veterinarian)

Watt, James (Gardener)

Brown, Carol (Flutist)

Optional Addition

Add the profession or occupation even if there is no need to distinguish between access points.

EXAMPLE

Anderson, Reid (Professor of graphic design)

Ross, Anne (Production designer)

Bergquist, Karin (Musician)

9.19.1.6.7 Other Term of Rank, Honour, or Office

Add a term indicative of rank, honour, or office if the term appears with the name (see 9.4.1.9) if it is needed to distinguish one access point from another. ~~Make this addition when the following elements are not available:~~

~~date of birth and/or death (see 9.19.1.3)~~

~~fuller form of name (see 9.19.1.4)~~

~~or~~

~~period of activity of the person and/or profession or occupation (see 9.19.1.5).~~

EXAMPLE

Wood, John, Captain

"Captain," a term indicative of rank, added to distinguish the authorized access point from another

Optional Addition

Add a term indicative of rank, honour, or office even if there is no need to distinguish between access points.

EXAMPLE

Appleby, Robert, Sir

"Sir," a term of honour for a knight, added to help identify the person

9.19.1-7.8 Other Designation

If none of the additions at ~~9.19.1.2-9.19.1.6~~ is sufficient or appropriate for distinguishing between the access points for two or more persons, ~~a~~ Add an appropriate other designation (see **9.6.1.9**) if needed to distinguish one access point from another.

[Examples unchanged]

Nichols, Chris (Of the North Oxford Association)

Lang, John (Brother of Andrew Lang)

Budd, Henry (Cree Indian)

Yaśodharā (Wife of Gautama Buddha)

Independent Burgess (Of Nottingham)

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Preferred name for the person: Ella Fitzgerald

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Preferred name for the person: Marie-Paule Masson-Vincourt

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Preferred name for the person: Henry St. John, Viscount Bolingbroke

Leonardo, da Vinci

Preferred name for the person: Leonardo da Vinci

C. G. A. v. Z.

Preferred name for the person: C.G.A.v.Z.

Buckskin Bill

Preferred name for the person: Buckskin Bill

Add the following elements in this order of preference:

- a) title or other designation associated with the person (see **9.19.1.2**), and/or date of birth and/or death (see **9.19.1.3**)
- b) one or more of the following elements if needed to distinguish access points representing different persons, as appropriate:
 - fuller form of name (see **9.19.1.4**)
 - period of activity of the person (see **9.19.1.5**)
 - profession or occupation (see **9.19.1.6**)
 - other term of rank, honour, or office (see **9.19.1.7**)

other designation (see [9.19.1.8](#))

EXAMPLE

Carlos, Prince of Asturias

Clement I, Pope

More, Thomas, Saint

Luke, Saint (Spirit)

Smith, John, 1978–

Smith, John, 1832–1911

Allen, Richard (Richard H.)

Allen, Richard (Richard Ian Gordon)

Person whose name consists of a phrase or appellation not conveying the idea of a person. When constructing an authorized access point for a person whose name consists of a phrase or appellation not conveying the idea of a person, apply the following instructions even if not needed to distinguish access points representing different persons with the same name. Add after the name:

- a) a term indicating profession or occupation (see [9.16](#)) for human entities
or
- b) a term indicating fictitious or legendary character (see [9.6.1.7](#))
or
- c) a term indicating type, species or breed for real non-human entities (see [9.6.1.8](#)).

[Examples unchanged]

Make the additions specified at [9.19.1.2–9.19.1.8](#) if they are needed to distinguish access points representing different persons with the same name.

Optional Addition

Make the additions specified at [9.19.1.2–9.19.1.8](#) even if they are not needed to distinguish access points representing different persons with the same name.

If no suitable addition is available, use the same access point for all persons with the same name. Use an undifferentiated name indicator (see [8.11](#)) to indicate that the name is undifferentiated.

[Examples unchanged]

ADDITIONS TO ACCESS POINTS REPRESENTING PERSONS

...

9.19.1.3 Date of Birth and/or Death

Add the date of birth (see [9.3.2](#)) and/or date of death (see [9.3.3](#)) if needed to distinguish one access point from another. If reference sources provide differing information about a person's birth or death date, use the most authoritative date. Record the year alone.

[Examples unchanged]

Add the month or month and day if needed to distinguish one access point from another.

[Examples unchanged]

Optional Addition

Add the date of birth and/or death even if there is no need to distinguish between access points.

[Examples unchanged]

9.19.1.4 Fuller Form of Name

Add a fuller form of the person's name (see 9.5) if needed to distinguish one access point from another.

[Examples unchanged]

Optional Addition

Add a fuller form of name even if there is no need to distinguish between access points. Add the fuller form of name before the date of birth and/or death.

[Examples unchanged]

9.19.1.5 Period of Activity of the Person

Add the period of activity of the person (see 9.3.4) if needed to distinguish one access point from another. . If reference sources provide differing information about a person's period of activity, use the most authoritative date or dates.

EXAMPLE

Smith, John, flourished 1705
Smith, John, active 1719–1758
Xu, Zhen, active 1377
Xu, Zhen, jin shi 1523
Allen, Charles, 17th century
Allen, Charles, 18th century–19th century

Optional Addition

Add the period of activity of the person even if there is no need to distinguish between access points.

EXAMPLE

Bal'amī Abū 'Alī Muḥammad ibn Muḥammad, flourished 946–973
Aelfgifu, Queen, consort of Edwy, King of England, flourished 956
Callistratus, of Aphidna, flourished 377 B.C.–361 B.C.
Adam II, Abbot of Dore, flourished 1216–1226
Cai, Shangxiang, jin shi 1761
Zacharias (Notary), active 1232–1274

9.19.1.6 Profession or Occupation

Add the profession or occupation (see 9.16) if needed to distinguish one access point from another.

EXAMPLE

Johannes (Notary)
A. K. (Musician)
Chris (Rapper)
Hancock, Mary (Architect)
Butler, Jean (Composer)
Hall, Daniel (Tax collector)
Orr, Marjorie (Veterinarian)
Watt, James (Gardener)
Brown, Carol (Flutist)

Optional Addition

Add the profession or occupation even if there is no need to distinguish between access points.

EXAMPLE

Anderson, Reid (Professor of graphic design)
Ross, Anne (Production designer)
Bergquist, Karin (Musician)

9.19.1.7 Other Term of Rank, Honour, or Office

Add a term indicative of rank, honour, or office if the term appears with the name (see 9.4.1.9) if needed to distinguish one access point from another.

EXAMPLE

Wood, John, Captain
"Captain," a term indicative of rank, added to distinguish the authorized access point from another

Optional Addition

Add a term indicative of rank, honour, or office even if there is no need to distinguish between access points.

EXAMPLE

Appleby, Robert, Sir
"Sir," a term of honour for a knight, added to help identify the person

9.19.1.8 Other Designation

Add an appropriate other designation (see 9.6.1.9) if needed to distinguish one access point from another.

[Examples unchanged]

Nichols, Chris (Of the North Oxford Association)
Lang, John (Brother of Andrew Lang)
Budd, Henry (Cree Indian)
Yaśodharā (Wife of Gautama Buddha)
Independent Burgess (Of Nottingham)

ALA notes that the following further changes will be needed in RDA if the JSC accepts the proposal to create a separate instruction for *Profession or Occupation* (9.19.1.6):

- 9.3.1.3, 3rd paragraph, final sentence
For additional instructions on recording dates as parts of authorized access points, see 9.19.1.3 (date of birth and/or death) or 9.19.1.5 (period of activity of the person ~~and/or profession or occupation~~).
- 9.16.1.3 (reference should change from 9.19.1.5 to 9.19.1.6)
- RDA index:
 - Differentiation of names
 - persons with the same name, 9.19.1.3–9.19.1.~~7~~8
 - other designations, 9.6.1.9, 9.19.1.~~7~~8
 - Other designations for persons with the same name, 9.6.1.9, 9.19.1.~~7~~8