To: Joint Steering Committee for Development of RDA

From: Ebe Kartus, ACOC Representative

Subject: Priority order for additions to authorized access points representing a person (Revision of RDA 9.19)

ACOC thanks the British Library for its proposal.

ACOC agrees that this issue needs to be addressed, but does not support wholly removing priority order for additions to authorized access points representing a person. We agree with the ALA response preserving titles and dates as preferred additions to access points, but would prefer new instructions at 9.19 in order to maintain the priority of titles, dates, *and* fuller form of name over other additions to name access points. ACOC's proposed revisions to 9.19 maintain the precedence of titles, dates, and fuller form of name while also addressing the British Library's concern that instructions do not permit what may be useful additions due to the priority order, and allowing a measure of flexibility in cataloguer's judgement.

Our proposed revisions include the additional sentences at 9.19.1.3 and 9.19.1.5 found in the ALA response to 6JSC/BL/20. These additional sentences instruct cataloguers to choose the most authoritative date when multiple dates are found in reference resources.

As in the British Library proposal and ALA response, ACOC's revisions to 9.19 separate the instructions for *Period of Activity of the Person* and *Profession or Occupation*, which were originally separate elements later combined by 6JSC/LC/12. We agree that this is justified because the two are different elements and should be separate, but would caution against such reversals in the future.

Proposed revision – marked up copy

9.19.1.1 General Guidelines on Constructing Access Points to Represent Persons

When constructing an authorized access point to represent a person, use the preferred name for the person (see 9.2.2) as the basis for the preferred access point.

EXAMPLE

Fitzgerald, Ella
Preferred name for the person: Ella Fitzgerald
Masson-Vincourt, Marie-Paule
Preferred name for the person: Marie-Paule Masson-Vincourt
Bolingbroke, Henry St. John, Viscount
Preferred name for the person: Henry St. John, Viscount Bolingbroke
Leonard, da Vinci
Preferred name for the person: Leonardo da Vinci
C. G. A. v. Z.
Preferred name for the person: C. G. A. v. Z.
Buckskin Bill
Preferred name for the person: Buckskin Bill

Add the following elements in this order of preference:

a) title or other designation associated with the person (see 9.19.1.2), and/or date of birth and/or death (see 9.19.1.3)
b) fuller form of name (see 9.19.1.4)
c) one or more of the following elements if needed to distinguish access points representing different persons, as appropriate:
   period of activity of the person (see 9.19.1.5)
   profession or occupation (see 9.19.1.6)
   other term of rank, honour, or office (see 9.19.1.7)
   other designation (see 9.19.1.8)

EXAMPLE
Carlos, Prince of Asturias
Clement I, Pope
More, Thomas, Saint
Luke, Saint (Spirit)
Smith, John, 1978–
Smith, John, 1832–1911
Allen, Richard (Richard H.)
Allen, Richard (Richard Ian Gordon)

Person whose name consists of a phrase or appellation not conveying the idea of a person. When constructing an authorized access point for a person whose name consists of a phrase or appellation not conveying the idea of a person, apply the following instructions even if not needed to distinguish access points representing different persons with the same name. Add after the name:
   a) a term indicating profession or occupation (see 9.16) for human entities
      or
   b) a term indicating fictitious or legendary character (see 9.6.1.7)
      or
   c) a term indicating type, species or breed for real non-human entities (see 9.6.1.8)

[Examples unchanged]

Make the additions specified at 9.19.1.2–9.19.1.~~7~~.8 if they are needed to distinguish access points representing different persons with the same name.

Optional Addition
   Make the additions specified at 9.19.1.2–9.19.1.~~7~~.8 even if they are not needed to distinguish access points representing different persons with the same name.

If no suitable addition is available, use the same access point for all persons with the same name. Use an undifferentiated name indicator (see 8.11) to indicate that the name is undifferentiated.

[Examples unchanged]

. . .

9.19.1.3 Date of Birth and/or Death

Add the date of birth (see 9.3.2) and/or date of death (see 9.3.3) if needed to distinguish one access point from another. If reference sources provide differing information about a person's birth or death date, use the most authoritative date. Record the year alone.

[Examples unchanged]

Add the month or month and day if needed to distinguish one access point from another.

[Examples unchanged]

Optional Addition
Add the date of birth and/or death even if there is no need to distinguish between access points.

[Examples unchanged]

9.19.1.4 Fuller Form of Name

[Instruction unchanged]

9.19.1.5 Period of Activity of the Person

Add the period of activity of the person (see 9.3.4) or profession or occupation (see 9.16) if needed to distinguish one access point from another. If reference sources provide differing information about a person's period of activity, use the most authoritative date or dates. Make this addition when the following elements are not available:

date of birth and/or death (see 9.19.1.3)

or

fuller form of name (see 9.19.1.4)

EXAMPLE

~~Addition of a Period of Activity~~
Smith, John, flourished 1705
Smith, John, active 1719–1758
Xu, Zhen, active 1377
Xu, Zhen, jin shi 1523
Allen, Charles, 17th century
Allen, Charles, 18th century–19th century

~~EXAMPLE~~

~~Johannes (Notary)~~
~~A. K. (Musician)~~
~~Chris (Rapper)~~
~~Hancock, Mary (Architect)~~
~~Butler, Jean (Composer)~~
~~Hall, Daniel (Tax collector)~~
~~Orr, Marjorie (Veterinarian)~~
~~Watt, James (Gardener)~~
~~Brown, Carol (Flutist)~~

Optional Addition

Add the period of activity of the person ~~and/or profession or occupation in any order~~ even if there is no need to distinguish between access points.

EXAMPLE
Balʿamī Abŭ ʿAlī Muhammad ibn Muhammad, flourished 946–973
Aelfgifu, Queen, consort of Edwy, King of England, flourished 956
Callistratus, of Aphidna, flourished 377 B.C. –361 B.C.
Adam II, Abbot of Dore, flourished 1216–1226
Cai, Shangxiang, jin shi 1761
Zacharias (Notary), active 1232–1274

9.19.1.6 Profession or Occupation

Add the profession or occupation (see 9.16) if needed to distinguish one access point from another. Make this addition when the following elements are not available:

date of birth and/or death (see 9.19.1.3)

or

fuller form of name (see 9.19.1.4)

EXAMPLE
Johannes (Notary)
A. K. (Musician)
Chris (Rapper)
Hancock, Mary (Architect)
Butler, Jean (Composer)
Hall, Daniel (Tax collector)
Orr, Marjorie (Veterinarian)
Watt, James (Gardener)

Brown, Carol (Flutist)

Optional Addition

Add the profession or occupation even if there is no need to distinguish between access points.

EXAMPLE

Anderson, Reid (Professor of graphic design)
Ross, Anne (Production designer)
Bergquist, Karin (Musician)

9.19.1.6.7 Other term of Rank, Honour, or Office

Add a term indicative of rank, honour, or office if the term appears with the name (see 9.4.1.9) if ~~it is~~ needed to distinguish one access point from another. Make this addition when the following elements are not available:

date of birth and/or death (see 9.19.1.3)

or

fuller form of name (see 9.19.1.4)

EXAMPLE

Wood, John, Captain
"Captain," a term of rank, added to help distinguish the authoritized access point from another

Optional Addition

Add a term of rank, honour, or office even if there is no need to distinguish between access points.

EXAMPLE

Appleby, Robert, Sir
"Sir," a term of honour for a knight, added to help identify the person

9.19.1.7.8 Other Designation

~~If none of the additions at 9.19.1.2–9.19.1.6 is sufficient or appropriate for distinguishing between the access points for two or more persons, a~~Add an appropriate <u>other</u> designation (see 9.6.1.9) <u>if needed to distinguish one access point from another.</u> Make this addition when the following elements are not available:

date of birth and/or death (see 9.19.1.3)

or

fuller form of name (see 9.19.1.4)

EXAMPLE

Nichols, Chris (Of the North Oxford Association)
Lang, John (Brother of Andrew Lang)
Budd, Henry (Cree Indian)
Yaśodhară (Wife of Gautama Buddha)
Independent Burgess (Of Nottingham)

Proposed revision – clean copy

9.19.1.1 General Guidelines on Constructing Access Points to Represent Persons

When constructing an authorized access point to represent a person, use the preferred name for the person (see 9.2.2) as the basis for the preferred access point.

EXAMPLE

Fitzgerald, Ella
Preferred name for the person: Ella Fitzgerald
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Preferred name for the person: Henry St. John, Viscount Bolingbroke
Leonard, da Vinci
Preferred name for the person: Leonardo da Vinci
C. G. A. v. Z.
Preferred name for the person: C. G. A. v. Z.
Buckskin Bill
Preferred name for the person: Buckskin Bill

Add the following elements in this order of preference:

d) title or other designation associated with the person (see 9.19.1.2), and/or date of birth and/or death (see 9.19.1.3)
e) fuller form of name (see 9.19.1.4)
f) one or more of the following elements if needed to distinguish access points representing different persons, as appropriate:
    period of activity of the person (see 9.19.1.5)
    profession or occupation (see 9.19.1.6)
    other term of rank, honour, or office (see 9.19.1.7)
    other designation (see 9.19.1.8)

EXAMPLE

Carlos, Prince of Asturias
Clement I, Pope
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d) a term indicating profession or occupation (see 9.16) for human entities
or
e) a term indicating fictitious or legendary character (see 9.6.1.7)
or
f) a term indicating type, species or breed for real non-human entities (see 9.6.1.8)

[Examples unchanged]

Make the additions specified at 9.19.1.2–9.19.1.8 if they are needed to distinguish access points representing different persons with the same name.

Optional Addition
Make the additions specified at 9.19.1.2–9.19.1.8 even if they are not needed to distinguish access points representing different persons with the same name.

If no suitable addition is available, use the same access point for all persons with the same name. Use an undifferentiated name indicator (see 8.11) to indicate that the name is undifferentiated.

[Examples unchanged]

. . .

9.19.1.3 Date of Birth and/or Death

Add the date of birth (see 9.3.2) and/or date of death (see 9.3.3) if needed to distinguish one access point from another. If reference sources provide differing information about a person's birth or death date, use the most authoritative date. Record the year alone.

[Examples unchanged]

Add the month or month and day if needed to distinguish one access point from another.

[Examples unchanged]

Optional Addition

Add the date of birth and/or death even if there is no need to distinguish between access points.

[Examples unchanged]

9.19.1.4 Fuller Form of Name

[Instruction unchanged]

9.19.1.5 Period of Activity of the Person

Add the period of activity of the person (see 9.3.4) if needed to distinguish one access point from another. If reference sources provide differing information about a person's period of activity, use the most authoritative date or dates. Make this addition when the following elements are not available:

date of birth and/or death (see 9.19.1.3)

or

fuller form of name (see 9.19.1.4)

EXAMPLE
Smith, John, flourished 1705
Smith, John, active 1719–1758
Xu, Zhen, active 1377
Xu, Zhen, jin shi 1523
Allen, Charles, 17th century
Allen, Charles, 18th century–19th century

Optional Addition
Add the period of activity of the person even if there is no need to distinguish between access points.

EXAMPLE
Balʻamī Abŭ ʻAlī Muhammad ibn Muhammad, flourished 946–973
Aelfgifu, Queen, consort of Edwy, King of England, flourished 956
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Adam II, Abbot of Dore, flourished 1216–1226
Cai, Shangxiang, jin shi 1761
Zacharias (Notary), active 1232–1274

9.19.1.6 Profession or Occupation

Add the profession or occupation (see 9.16) if needed to distinguish one access point from another. Make this addition when the following elements are not available:

date of birth and/or death (see 9.19.1.3)

or

fuller form of name (see 9.19.1.4)

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EXAMPLE

Johannes (Notary)
A. K. (Musician)
Chris (Rapper)
Hancock, Mary (Architect)
Butler, Jean (Composer)
Hall, Daniel (Tax collector)
Orr, Marjorie (Veterinarian)
Watt, James (Gardener)
Brown, Carol (Flutist)

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Optional Addition
Add the profession or occupation even if there is no need to distinguish between access points.

<div style="background-color:#FAF4C0">

EXAMPLE

Anderson, Reid (Professor of graphic design)
Ross, Anne (Production designer)
Bergquist, Karin (Musician)

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9.19.1.7 Other term of Rank, Honour, or Office

Add a term indicative of rank, honour, or office if the term appears with the name (see 9.4.1.9) if needed to distinguish one access point from another. Make this addition when the following elements are not available:

date of birth and/or death (see 9.19.1.3)

or

fuller form of name (see 9.19.1.4)

<div style="background-color:#FAF4C0">

EXAMPLE

Wood, John, Captain
"Captain," a term of rank, added to help distinguish the authoritized access point from another

</div>

Optional Addition
Add a term of rank, honour, or office even if there is no need to distinguish between access points.

<div style="background-color:#FAF4C0">

EXAMPLE

Appleby, Robert, Sir
"Sir," a term of honour for a knight, added to help identify the person

</div>

9.19.1.8 Other Designation

Add an appropriate other designation (see 9.6.1.9) if needed to distinguish one access point from another. Make this addition when the following elements are not available:

date of birth and/or death (see 9.19.1.3)

or

fuller form of name (see 9.19.1.4)

EXAMPLE
Nichols, Chris (Of the North Oxford Association)
Lang, John (Brother of Andrew Lang)
Budd, Henry (Cree Indian)
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